

GLOBAL
EDITION



Human Communication

The Basic Course

THIRTEENTH EDITION

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HUMAN COMMUNICATION

The Basic Course

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expressions to communicate a liking for other people when you're really interested only in gaining their support in some endeavor. Not surprisingly, you also interpret nonverbal signals to try to detect deception in others. For example, you may well suspect a person of lying if he or she avoids eye contact, fidgets, and conveys inconsistent verbal and nonverbal messages. Relying on nonverbal cues to detect lying is likely to get you into trouble by leading you to formulate incorrect conclusions (Burgoon, Guerrero, & Floyd, 2010; Knapp, 2008).

A particularly clear example of the use of nonverbal messages to influence (and perhaps deceive) is seen in the media's use of what has come to be called product placement, a topic addressed in the accompanying Expanding Media Literacy box.

Nonverbal Messages Are Crucial for Expressing Emotions

Although people often explain and reveal emotions verbally, nonverbal expressions communicate a great part of your emotional experience. For example, you reveal your level of happiness, sadness, or confusion largely through facial expressions. You also reveal your feelings by posture (for example, whether tense or relaxed), gestures, eye movements, and even the dilation of your pupils.

Nonverbal messages often help people communicate unpleasant messages—messages they might feel uncomfortable putting into words (Infante, Rancer, & Avtgis, 2010). For example, you might avoid eye contact and maintain large distances between yourself and someone with whom you didn't want to interact or with whom you wanted to decrease the intensity of your relationship.

At the same time, you also use nonverbal messages to hide your emotions. You might, for example, smile even though you feel sad so as not to dampen the party spirit. Or you might laugh at someone's joke even though you think it silly.

6.2 THE CHANNELS OF NONVERBAL COMMUNICATION

Nonverbal communication is probably most easily explained in terms of the various channels through which messages pass. Here we'll survey 10 channels: (1) body, (2) face, (3) eye, (4) space, (5) artifactual, (6) touch, (7) paralanguage, (8) silence, (9) time, and (10) smell.

Body Communication

Two areas of the body are especially important in communicating messages. First, the movements you

make with your body communicate; second, the general appearance of your body communicates.

Body Gestures

Researchers in **kinesics**, or the study of nonverbal communication through face and body movements, identify five major types of movements: emblems, illustrators, affect displays, regulators, and adaptors (Ekman & Friesen, 1969; Knapp & Hall, 2010).

Emblems are body gestures that directly translate into words or phrases; for example, the OK sign, the thumbs-up for "good job," and the V for victory. You use these consciously and purposely to communicate the same meaning as the words. But emblems are culture specific, so be careful when using your culture's emblems in other cultures. Here are a few cultural differences in the emblems you may commonly use (Axtell, 1993, 2007):

- In the United States, to say "hello" you wave with your whole hand moving from side to side, but in a large part of Europe that same signal means "no." In Greece such a gesture would be considered insulting.
- In Texas the raised fist with little finger and index finger held upright is a positive expression of support, because it represents the Texas longhorn steer. But in Italy it's an insult that means "Your spouse is having an affair with someone else." In parts of South America it's a gesture to ward off evil, and in parts of Africa it's a curse: "May you experience bad times."
- In the United States and in much of Asia, hugs are rarely exchanged among acquaintances; but among Latins and southern Europeans, hugging is a common greeting gesture, and failing to hug someone may communicate unfriendliness.

Illustrators enhance (literally "illustrate") the verbal messages they accompany. Most often you illustrate with your hands, but you can also illustrate with head and general body movements. You might, for example, turn your head or your entire body toward the left when referring to something on the left. You might also use illustrators to communicate the shape or size of objects you're talking about. Interestingly enough, illustrators increase your ability to remember. In one study, for example, people who illustrated their verbal messages with gestures remembered some 20 percent more than those who didn't gesture (Goldin-Meadow, Nusbaum, Kelly, & Wagner, 2001).

Affect displays are movements of the face (smiling or frowning, for example) but also of the hands and

general body (body tension or relaxation, for example) that communicate emotional meaning. Often affect displays are unconscious; you often smile or frown without awareness. At other times, however, you may smile consciously, trying to convey your pleasure or satisfaction. Not surprisingly, people who smile spontaneously are judged to be more likable and more approachable than people who don't smile or people who pretend to smile (Gladstone & Parker, 2002).

Regulators are behaviors that monitor, control, coordinate, or maintain the speaking of another individual. When you nod your head, for example, you tell the speaker to keep on speaking; when you lean forward and open your mouth, you tell the speaker that you would like to say something.

Adaptors are gestures that satisfy some personal need, such as scratching to relieve an itch or moving your hair out of your eyes. **Self-adaptors** are self-touching movements (for example, rubbing your nose). **Alter-adaptors** are movements directed at the person with whom you're speaking, such as removing lint from someone's jacket or straightening a person's tie or folding your arms in front of you to keep others a comfortable distance from you. **Object-adaptors** are gestures focused on objects, such as doodling on or shredding a Styrofoam coffee cup. Table 6.2 summarizes these five types of body movements.

Body Appearance

Your general body appearance also communicates. Height, for example, has been shown to be significant in a wide variety of situations. Tall presidential candidates have a much better record of winning the election than do their shorter opponents. Tall people seem to be paid more and are favored by interviewers over shorter job applicants (Guerrero & Hecht, 2008;

Keyes, 1980; Knapp & Hall, 2010). Taller people also have higher self-esteem and greater career success than do shorter people (Judge & Cable, 2004).

Your body also reveals your ethnicity (through skin color and tone) and may also give clues as to your more specific nationality. Your weight in proportion to your height will also communicate messages to others, as will the length, color, and style of your hair.

Your general **attractiveness** is also a part of body communication. Attractive people have the advantage in just about every activity you can name. They get better grades in school, are more valued as friends and lovers, and are preferred as coworkers (Burgoon, Guerrero, & Floyd, 2010).

Facial Communication

Your face communicates various messages, especially your emotions. Facial movements alone seem to communicate the degree of pleasantness, agreement, and sympathy felt; the rest of the body doesn't provide any additional information. But for other emotional messages—for example, the intensity with which an emotion is felt—both facial and bodily cues send messages (Graham & Argyle, 1975; Graham, Bitti, & Argyle, 1975). The importance of these cues seems to have led to the creation of the ubiquitous smiley face and emoticons generally used in e-mail and text messaging (see Table 6.3 on page 146).

Some researchers in nonverbal communication claim that facial movements may express at least the following eight emotions: happiness, surprise, fear, anger, sadness, disgust, contempt, and interest (Ekman, Friesen, & Ellsworth, 1972). Facial expressions of these emotions are generally called primary affect displays: They indicate relatively pure, single emotions. Other

TABLE 6.2 FIVE BODY MOVEMENTS






What other examples can you think of for these five movements?		
	Name and Function	Examples
	EMBLEMS directly translate words or phrases; they are especially culture specific.	"OK" sign, "come here" wave, hitchhiker's sign
	ILLUSTRATORS accompany and literally "illustrate" verbal messages.	Circular hand movements when talking of a circle; hands far apart when talking of something large
	AFFECT DISPLAYS communicate emotional meaning.	Expressions of happiness, surprise, fear, anger, sadness, disgust/contempt
	REGULATORS monitor, maintain, or control the speech of another.	Facial expressions and hand gestures indicating "keep going," "slow down," or "what else happened?"
	ADAPTORS satisfy some need.	Scratching your head

TABLE 6.3 SOME POPULAR EMOTICONS

Here are a few of the many popular emoticons used in computer communication. The first nine are popular in the United States; the last three are popular in Japan and illustrate how culture influences such symbols. That is, because Japanese culture considers it impolite for women to show their teeth when smiling, the emoticon for a woman's smile shows a dot signifying a closed mouth. An excellent website that contains extensive examples of smileys, emoticons, acronyms, and shorthand abbreviations is the website of Net Lingo. Also look up "emoji," the Japanese smileys that are much more elaborate and diversified.

Emoticon	Meaning	Emoticon	Meaning
:~)	Smile; I'm kidding	*This is important*	Substitutes for underlining or italics
:-(Frown; I'm feeling down	<G>	Grin; I'm kidding
*	Kiss	<grin>	Grin; I'm kidding
{ }	Hug	^.^	Woman's smile
{*****}	Hugs and kisses	^_^	Man's smile
This is important	Gives emphasis, calls special attention to	^o^	Happy

emotional states and other facial displays are combinations of these various primary emotions and are called affect blends. You communicate these blended feelings with different parts of your face. For example, you may experience both fear and disgust at the same time. Your eyes and eyelids may signal fear, and movements of your nose, cheek, and mouth area may signal disgust.

Facial Management

As you learned your culture's nonverbal system of communication, you also learned certain **facial management techniques** that enable you to communicate your feelings to achieve the effect you want—for example, ways to hide certain emotions and to emphasize others. Here are several purposes such techniques may serve (Malandro, Barker, & Barker, 1989; Metts & Planalp, 2002).

- **To intensify:** to exaggerate your astonishment at a surprise party to make your friends feel better.
- **To deintensify:** to cover up your own joy about good news in the presence of a friend who didn't receive any such news.
- **To neutralize:** to cover up your sadness so as not to depress others.
- **To mask:** to express happiness in order to cover up your disappointment at not receiving a gift you expected.
- **To simulate:** to express an emotion you didn't feel.

These tactics of facial management help you display emotions in socially acceptable ways. For example, when someone gets bad news in which you may

secretly take pleasure, the cultural display rule dictates that you frown and otherwise nonverbally signal your displeasure. If you place first in a race and your best friend barely finishes, the display rule requires that you minimize your expression of pleasure in winning and avoid any signs of gloating. If you violate these display rules, you'll seem insensitive. So, although facial management techniques may be deceptive, they're also expected—in fact required—by the rules of polite interaction.

Encoding–Decoding Accuracy

Research in 11 different countries shows that women are better than men at both encoding and decoding nonverbal cues (Rosenthal & DePaulo, 1979). It may be argued that because men and women play different roles in society, they've learned different adaptive techniques and skills to help them perform these roles. Thus, in most societies women are expected to be more friendly, nurturing, and supportive and so learn these skills (Eagly & Crowley, 1986)..

Accuracy also varies with the emotions themselves. Some emotions are easier to encode and decode than others. In one study, for example, people judged facial expressions of happiness with an accuracy ranging from 55 to 100 percent, surprise from 38 to 86 percent, and sadness from 19 to 88 percent (Ekman, Friesen, & Ellsworth, 1972).

Eye Communication

Research on the messages communicated by the eyes (a study known technically as oculusis) shows

the-o-ry noun statement of explanation, formulation of relationships, reasoned generalization

UNDERSTANDING THEORY AND RESEARCH

The Facial Feedback Hypothesis

The **facial feedback hypothesis** claims that your facial expressions influence physiological arousal (Cappella, 1993). In one study, for example, participants held a pen in their teeth to simulate a sad expression and then rated a series of photographs. Results showed that mimicking sad expressions actually increased the degree of sadness the subjects reported feeling when viewing the photographs (Larsen, Kasimatis, & Frey, 1992). Further support for this hypothesis comes from a study that compared (1) participants who felt emotions such as happiness and anger with (2) participants who both felt and expressed these emotions. In support of the facial feedback hypothesis, people who felt and expressed the emotions became emotionally aroused faster than did those who only felt the emotion (Burgoon, Guerrero, & Floyd, 2010; Hess et al., 1992).

Generally, research finds that facial expressions can produce or heighten feelings of sadness, fear, disgust, and anger. But this effect does not occur with all emotions; smiling, for example, doesn't seem to make us feel happier. Further, it has not been demonstrated that facial expressions can eliminate one feeling and replace it with another. So if you're feeling sad, smiling will not eliminate the sadness and replace it with gladness. A reasonable conclusion seems to be that your facial expressions can influence some feelings, but not all (Burgoon, Guerrero, & Floyd, 2010).

Working with Theories and Research

What effect do you observe when you express your emotions? Do your feelings get stronger? Weaker?

that these messages vary depending on the duration, direction, and quality of the eye behavior. For example, in every culture there are strict, though unstated, rules for the proper duration for eye contact. In U.S. culture the average length of gaze is 2.95 seconds. The average length of mutual gaze (two persons gazing at each other) is 1.18 seconds (Argyle, 1988; Argyle & Ingham, 1972). When eye contact falls short of this amount, you may think the person is uninterested, shy, or preoccupied. When the appropriate amount of time is exceeded, you may perceive the person as showing unusually high interest.

The direction of the eye also communicates. In much of the United States, you're expected to glance alternately at the other person's face, then away, then again at the face, and so on. The rule for the public speaker is to scan the entire audience, not focusing for too long on or ignoring any one area of the audience. When you break these directional rules, you communicate different meanings—abnormally high or low interest, self-consciousness, nervousness over the interaction, and so on. The quality of eye behavior—how wide or how narrow your eyes get during interaction—also communicates meaning, especially interest level and such emotions as surprise, fear, and disgust. Some researchers note that eye contact serves to enable gay men and lesbians to signal their homosexuality and perhaps their interest in the other person—an ability referred to as “gaydar” (Nicholas, 2004).

Eye Contact

Eye contact can serve a variety of functions. One such function is to seek feedback. In talking with

someone, we look at her or him intently, as if to say, “Well, what do you think?” As you might predict, listeners gaze at speakers more than speakers gaze at listeners. In public speaking, you may scan hundreds of people to secure this feedback.

A second function is to inform the other person that the channel of communication is open and that he or she should now speak. You see this regularly in conversation, when one person asks a question or finishes a thought and then looks to you for a response. And one study found that eye contact was the most frequently noted nonverbal behavior used to tell library users that the librarian was approachable (Radford, 1998).

Eye movements may also signal the nature of a relationship, whether positive (an attentive glance) or negative (eye avoidance). You can also signal your power through **visual dominance** behavior (Exline, Ellyson, & Long, 1975). The average person, for example, maintains a high level of eye contact while listening and a lower level while speaking. When people want to signal dominance, they may reverse this pattern—maintaining a high level of eye contact while talking but a much lower level while listening.

By making eye contact you psychologically lessen the physical distance between yourself and another person. When you catch someone's eye at a party, for example, you become psychologically close though physically far apart.

Eye Avoidance

Eye avoidance, too, can serve several different functions. When you avoid eye contact or avert your

glance, you may help others maintain their privacy. For example, you may do this when you see a couple arguing in public. You turn your eyes away (though your eyes may be wide open) as if to say, “I don’t mean to intrude; I respect your privacy,” a behavior referred to as **civil inattention** (Goffman, 1971).

Eye avoidance can also signal lack of interest—in a person, a conversation, or some visual stimulus. At times, too, you may hide your eyes to block out unpleasant stimuli (a particularly gory or violent scene

in a movie, for example) or close your eyes to block out visual stimuli and thus heighten other senses. For example, you may listen to music with your eyes closed. Lovers often close their eyes while kissing, and many prefer to make love in a dark or dimly lit room.

In some cases, the visual channel may be damaged and adjustments have to be made. Table 6.4 gives you an idea of how such adjustment between people with visual impairments and those without such impairments can make communication more effective.

TABLE 6.4

COMMUNICATION TIPS

Between People with and People without Visual Impairments

People vary greatly in their visual abilities; some are totally blind, some are partially sighted, and some have unimpaired vision. Ninety percent of people who are “legally blind” have some vision. All people, however, have the same need for communication and information. Here are some tips for making communication better between those who have visual impairments and those without such difficulties.

If you’re the person without visual impairment and are talking with a person with visual impairment:

TIPS

Generally

Identify yourself.

Face your listener; you’ll be easier to hear.

Encode into speech all the meanings you wish to communicate.

Use audible turn-taking cues.

Use normal vocabulary and discuss topics that you would discuss with sighted people.

Specifically

Don’t assume the visually impaired person will recognize your voice.

Don’t shout. Most people who are visually impaired are not hearing impaired. Speak at your normal volume.

Remember that your gestures, eye movements, and facial expressions cannot be seen by the visually impaired.

When you pass the role of speaker to a person who is visually impaired, don’t rely on nonverbal cues; instead, say something like “Do you agree with that, Joe?”

Don’t avoid terms like *see* or *look* or even *blind*. Don’t avoid discussing a television show or the way your new car looks; these are normal topics for all people.

If you are a person with visual impairment and are talking with a person without visual impairment:

Help the sighted person meet your special communication needs.

Be patient with the sighted person.

Demonstrate your comfort.

If you want your surroundings described, ask. If you want the person to read the road signs, ask.

Many people are nervous talking with people who are visually impaired for fear of offending. Put them at ease in a way that also makes you more comfortable.

When appropriate, let the other person know that you’re comfortable with the interaction, verbally or nonverbally.

Source: These suggestions were drawn from a variety of sources, including the websites of the Cincinnati Association for the Blind and Visual Impaired, the Association for the Blind of WA, the National Federation of the Blind, and the American Foundation for the Blind, all accessed October 25, 2013.

Space Communication

Space is an especially important factor in interpersonal communication, although we seldom think about it. Edward T. Hall (1959, 1963, 1976) pioneered the study of spatial communication and called this research area **proxemics**. We can examine this broad area by looking at (1) proxemic distances and (2) territoriality.

Proxemic Distances

Hall (1959, 1963, 1976) distinguishes four **proxemic distances**, or **spatial distances**: the physical distances that define the types of relationships between people and the types of communication in which they are likely to engage (see Table 6.5).





- **Intimate Distance.** Ranging from actual touching to 18 inches, in **intimate distance** the presence of the other individual is unmistakable. Each person experiences the sound, smell, and feel of the other's breath. You use intimate distance for lovemaking, comforting, and protecting. This distance is so short that most people don't consider it proper in public.
- **Personal Distance.** The protective "bubble" that defines your personal space, ranging from 18 inches to 4 feet is **personal distance**. This imaginary bubble keeps you protected and untouched by others. At the outer limit of personal distance, you can touch another person only if both of you extend your arms. This is the distance at which you conduct most of your interpersonal interactions; for example, talking with friends and family.
- **Social Distance.** Ranging from 4 to 12 feet, at **social distance** you lose the visual detail you have at personal distance. You conduct impersonal business and interact at a social gathering at this social distance. The more distance you maintain in your interactions, the more formal they appear. In offices of high officials, the desks are positioned so the official is assured of at least this distance from clients.
- **Public Distance.** The space around you that protects you from others, referred to as **public distance**, ranges from 12 to more than 25 feet.

TABLE 6.5

In A Nutshell

Relationships and Proxemic Distances

Note that these four distances can be further divided into close and far phases and that the far phase of one level (say, personal) blends into the close phase of the next level (social). Do your relationships also blend into one another? Or are, say, your personal relationships totally separate from your social relationships?

Relationship		Distance
Intimate relationship		Intimate distance 0 inches _____ 18 inches close phase far phase
Personal relationship		Personal distance 1 foot _____ 4 feet close phase far phase
Social relationship		Social distance 4 feet _____ 12 feet close phase far phase
Public relationship		Public distance 12 feet _____ 25+ feet close phase far phase